FINANCIAL SERVICES

Focused on a Return on Your Investment

Related Practices

- Broker-Dealers
- Hedge Funds
- Private Equity and Capital Markets

The financial markets are fast-paced and constantly evolving. They're also facing increasing regulatory scrutiny. Financial service firms need clear advice from their accountants and business consultants to navigate new regulatory requirements and meet their tax obligations. Our longstanding clients include broker-dealers, hedge funds, private equity funds, investment partnerships, and registered investment advisors. For clients in the financial services industry, we're here to help set up business structures. We also consult with RIAs on regulations, reporting, and tax treatments.

How Citrin Cooperman Can Help

Today's business climate is wrought with pressures and competition that was not anticipated years ago. Coupled with a new administration in Washington, it's important now, more than ever, to work with a team that stays abreast of the latest industry developments. We provide services to many businesses in this arena, they include:

- Banking
- Broker-Dealers
- Capital Markets
- Domestic and off-shore hedge funds
- Emerging Managers
- Fintech
- Funds of Hedge Funds
- Insurance companies
- Management companies
- Private equity funds
- Registered investment advisors
- Registered investment companies ("'40 Act" Funds)
- Special purpose vehicles
- Specialty finance companies
- Venture capital funds

Related Services

Audit and Attest

- Financial Statement Audit
- Public Company Audit Services
- Employee Benefit Plan Audit
- Agreed upon procedures
- Reviews and compilations
- Surprise custody examinations
- Performance examination reports
- Audits of financial statements pursuant to SEC Rule 17a-5
- Compliance exams
- Compensation and fee structure agreements
- SSAE 18 System and Organizational Controls (SOC) Reports

Tax

- Tax compliance
- Punctual annual K-1 delivery to partners
- Innovative securities transaction tax planning
- Preparation of partnership economic and tax allocations
- Review of uncertain positions
- IRS representations and tax controversies
- Tax accounting and provisions
- Tax research and planning
- Mergers and acquisitions tax
- Transfer pricing studies

- Trust and estate services

Advisory Services

- Management fee and incentive allocation structure consulting
- Cost allocation studies
- Organizational structure set up
- Accounting advisory services
- Regulatory advisory services
- Business management & family office
- IT business advisory services
- Strategy and business transformation
- Business process outsourcing
  - Outsourced finance operations
  - Outsourced IT and advisory services
- Technology, risk advisory, and cybersecurity (TRAC)
  - IT risk, cybersecurity & privacy services
  - Risk advisory services
- Valuation advisory services
- Transaction advisory services